

## Michael J. Smitka

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### Education

- Ph.D. in Economics: Yale, 1989.
- A.B. cum laude in East Asian Studies: Harvard, 1975.

### Grants and Work Experience

- Faculty, Washington and Lee University, 1986-present.
- Keizai Koho Center Knowledge Leaders Trip, December 2002.
- Japan Foundation Short Term Research Grant, July 2002; Visiting Researcher, Gakushuin University Faculty of Economics, Tokyo.
- Participant, NEH Economic History Seminar, Universitt Mnchen, Munich, Summer 1997.
- Visiting Professor, School of Intl Relations, Intl University of Japan, Niigata, Winter 1997.
- W&L Glenn/Mellon Summer Research Grants, Summers 1993-1996, 2001.
- Researcher, MIT International Motor Vehicle Program, 1992 -1994.
- Visiting Prof. & Japan Foundation Fellow, Faculty of Law, Rikkyo University, Tokyo, 1991-92.
- Monbusho Joint International Research Project, Kyushu Univ., April 1991-July 1992.
- Fulbright Dissertation Fellowship, Hitotsubashi University, September 1983-January 85.
- Intern, Small and Medium Enterprise Agency, Tokyo, Summer 1982.
- Ph.D. Student in Economics, Yale University, 1980-1983, 1985-1986.
- Banker, International Finance Center (New York), Bank of Tokyo, 1978-1980.
- Teacher, Tamagawa Gakuen, Tokyo, 1975-1977.
- Intern, US Embassy, Tokyo, Summer 1975.

### Major Publications

#### Books:

- Author, *Competitive Ties: Subcontracting in the Japanese Automotive Industry*, Columbia University Press, 1991.
- Editor, *Japanese Economic History, 1600-1960*, 7 volumes, Garland Publishing, 1998.
  - *Agricultural growth and Japanese economic development.*
  - *Historical demography and labor markets in prewar Japan. The interwar economy of Japan: colonialism, depression, and recovery, 1910-1940. The Japanese economy in the*

*Tokugawa era, 1600-1868.*

- *Japanese prewar growth: lessons for development theory?*
- *Japan's economic ascent: international trade, growth, and postwar reconstruction.*
- *The textile industry and the rise of the Japanese economy.*

Articles:

- "The Asian Automotive Industry," *Encyclopedia of Asia*, Berkshire Publishing, 2002.
- "Automotive Industry," "Toyota" and "Nissan" for the *Encyclopedia of Japanese Business*, 2001.
- "Japan: Restructuring the Japanese Automotive Industry," *Manufacturers Digest* (Singapore Confederation of Industries), November-December 2000: 12- 15.
- "Foreign Policy and the US Automotive Industry: By Virtue of Necessity?" *Business and Economic History*, 2nd series, v. 28:2, Winter 1999.
- "Automobiles and U.S. Foreign Policy," in Bruce W. Jentleson and Thomas G. Paterson, editors, *Encyclopedia of U.S. Foreign Relations*, Oxford University Press for the Council on Foreign Relations, 1997.
- "Contracting without Contracts: How the Japanese Manage Organizational Transactions," Chapter 4 in Sim Sitkin and Robert Bies, eds., *The Legalistic Organization*, Sage Publishing, 1994.
- "Opinion: Are U.S. Auto Exports the Growth Industry of the 1990s?" *Sloan Management Review*, 35:1, Fall 1993.
- "Economy, Japanese," leading entry for economy topics in revised edition, *Japan: An Illustrated Encyclopedia*, Tokyo: Kodansha, 1993.
- 「日本自動車産業の没落」 (Decline of the Japanese Automotive Industry), 『週刊東洋経済』 (*Toyo Keizai*), January 11, 1993.
- "Decline of the Japanese Automotive Industry: Domestic and International Implications" Working Paper No. 65, Center of Japanese Economy and Business, Graduate School of Business, Columbia University, September 1992. Also published in *Which Direction is the Asia-Pacific Moving Towards?* [sic], Proceedings of the Kyushu University International Symposium 1992, published November 1992, and in the working paper series of the MIT International Motor Vehicle Program.
- "Business-Business Relations: Auto Parts Sourcing in Japan," *Japan's Economic Challenge*. U.S. Congress, Joint Economic Committee, December 1990.
- "The Invisible Handshake: The Development of the Japanese Automotive Parts Industry," *Business and Economic History*, 2nd series, v. 19, 1990. Reprinted in *The Economic Development of Modern Japan Since 1868 - Part Two: 1945-1995*, ed. Steven Tolliday, Edward Elgar, 2000.
- "American Management: Reformation or Revolution? - The Transfer of Japanese Management Technology to the US," in Komazawa University Economics Faculty (ed.), *The Globalization of Japanese Economy*, 1990. Also published as Working Paper No. 37, Center on the Japanese

Economy and Business, Graduate School of Business, Columbia University, December 1989.

Book reviews (recent / notable):

- *Japan's Economic Dilemma: The Institutional Origins of Prosperity and Stagnation* by Bai Gao. Reviewed for *Journal of Japanese Studies*, forthcoming 2003.
- *Britain and Japan: A Comparative Economic and Social History Since 1900* by Kenneth D. Brown, H-Net Review, November 1999.
- *Knowledge Works: Managing Intellectual Capital at Toshiba* by W. Mark Fruin, *Journal of Japanese Studies* 25:2, Summer 1999. *Small Firms in the Japanese Economy* by D. H. Whittaker, *American Journal of Sociology*, March 1999.
- *Japan Works: Power And Paradox In Postwar Industrial Relations* by John Price, *Journal of Japanese Studies*, 25:1 (Winter 1999).
- *Made in Japan* by the Japan Council on Industrial Productivity, *Japan Quarterly*, 45:4 (October-December 1998).
- *Bargaining with Japan: What American Pressure Can and Cannot Do* by Leonard J. Schoppa. H-Japan Book Review, June 1998.
- *Friends or Rivals? The Insider's Account of U.S.-Japan Relations* by Michael H. Armacost. H-Japan Book Review, 1997.
- *Divided Sun: MITI and the Breakdown of Japanese High Tech Industrial Policy, 1975-1993* by Scott Callon. *Journal of Asian Studies*, 56:1, (February 1997).
- *The Japanese Automobile Industry: A Business History* by Koichi Shimokawa. *Journal of Japanese Studies*, 22:2 (Summer 1996).
- *World War II and the Transformation of Business Systems* edited by Jun Sakudo & Takao Shiba, *Journal of Asian Studies*, 55:1, (February 1996).
- *The Japanese Iron and Steel Industry, 1850-1990: Continuity and Discontinuity* by Seiichiro Yonekura. *Journal of Asian Studies*, 54:3 (August 1995).
- *Reconcilable Differences?--United States-Japan Economic Conflict* by C. Fred Bergsten and Marcus Noland, *Journal of Economic Literature*, 33:2 (June 1995).
- *Strategic Capitalism: Private Business and Public Purpose in Japanese Industrial Finance* by Kent E. Calder, *Business History Review*, 1995.
- *Alliance Capitalism: The Social Organization of Japanese Business* by Michael Gerlach, *Journal of Economic Literature*, 32:3 (September 1994).
- *Japan: Facing Economic Maturity* by Edward Lincoln, *Journal of Economic Literature*, 27:1 (March), 1989.

Translations (selected):

- Ryutaro Komiya, Masahiro Okuno and Kotaro Suzumura (eds.), *Industrial Policy of Japan*, Academic Press, 1988 (Co-translator).

- Several articles for the journal *Japanese Economic Studies*.

## Papers and Conferences, 1996-2003

- 2003

- Presentation, "Japan's Economic Malaise: Three simple models for why Japan's economy will never grow again," Association of Japanese Business Studies, University of Quebec - Concordia School of Business, Montreal, Canada, June 2003.
- Presentation, "The End of Growth in Japan: Three Simple Models for Undergraduate Economists," ASIANetwork, Furman College, Greenville SC, April 2003.
- Moderator, Virginia Consortium of Asian Studies, Randolph Macon Womens College, February 2003. Elected President for 2003-04.
- Moderator, Virginia Consortium of Asian Studies, Randolph Macon Womens College, February 2003. Elected President for 2003-2005.

- 2002

- Presentation, Forum on Reforming Japan, Keidanren / Keizai Koho Center, Tokyo Japan, December 2002. Synopsis of the talk appeared in the *Japan Times* and (in Japanese) in the *Sankei Shinbun*.
- Participant, Japan Economic Seminar, Washington DC, November 2002.
- Discussant, Washington and Southeast Japan Seminar, William & Mary, October 2002.
- Paper presentation, "Exceptionalist Japan: The Nation without Nationalism," U.S. Department of State Conference on Nationalism and Identity in Japan, September 2002.
- "Restructuring the Japanese Automotive Industry," Association of Japanese Business Studies, St. Louis, June 2002.
- Presenter on "Restructuring the Japanese Automotive Industry" for "Colloquium on Japanese Firms: Organizing for Global Competitiveness," St. Louis, June 2002. To be published in *Advances in International Management*, Special Issue on Japan.
- Discussant, Yoshiro Miwa & J. Mark Ramseyer, "The Fable of the *Keiretsu*," Japan Economic Seminar, SAIS / Washington, DC, April 2002.
- Keynote Speaker, "Japan's Economic Engine: Why Did It Stall, and Can It Be Restarted?" Virginia Consortium of Asian Studies, Mary Washington College, February 2002.

- 2001

- Discussant, Association of Japanese Business Studies, Seinajoki Finland, June 2001.
- Co-organizer of the conference and discussant, Robert Dekle, "Demographic Destiny, *Per Capita* Consumption and the Japanese Saving-Investment Balance," Joint Conference of the Japan Economic Seminar and the Washington and Southeast Japan Seminar, School of Advanced International Studies, Washington DC, April 28, 2001.
- PowerPoint Presentation, "Driving the New Japan? - Adjustment in the Auto Industry," Georgetown University - Japan Economic Institute Conference on Restructuring the Japanese Economy, Washington DC, January 26, 2001.

- **2000**

- PowerPoint Presentation, "Yen Internationalization" and "An Asian Monetary Fund," Fudan University, Shanghai, China, conference on Asian-pacific Financial Cooperation and International Relations, November 2000.
- Paper and Presentation, "Restructuring in the Japanese Auto Industry," Washington and Southeast Japan Seminar, SAIS, Washington, DC, April 2000. Paper tentatively forthcoming in the annual volume of the Virginia Consortium of Asian Studies, Summer 2000.

- **1999**

- Participant, "Teaching and Learning Workshop," Associated Colleges of the South, Rollins College, June 1999. Subsequently presented an overview to Economics Department, W&L, Fall 1999.
- Panel Organizer, "Business and US Foreign Policy"; also presented paper, "Automotive Manufacturers & US Foreign Policy," Business History Conference, March 1999, Chapel Hill, NC.
- Presenter, "Japan's Economic Crisis," Virginia Consortium of Asian Studies, Mary Baldwin College, February 1999.

- **1998**

- Participant, Conference on Japanese Financial Restructuring, Columbia University, October 1998.
- Participant, Economic History Association, Durham, NC, Sept 1998.
- "The Political Economy of Automotive Trade," (in Japanese) Faculty Colloquium, Chiba University, May 1998.
- Participant, Japan Economic Seminar, Washington DC, April 1998.
- "The Two Biggest Issues Facing the Automotive Supplier Industry," Society of Automotive Analysts Annual Conference, Detroit International Motor Vehicle Show, January 1998.

- **1997**

- Participant, Japan Economic Seminar, New York, Sept 1997.
- Participant, Third World Congress of Cliometrics, Munich, Germany, July 10-13, 1997.
- Invited Participant, Automotive Innovation Forum, News PACE Competition, Dearborn, Michigan, June 3, 1997.
- "The Political Economy of the US-Japan Automotive Dispute," Institute of Social Science, University of Tokyo, March 11, 1997 and Hiroshima City University (in Japanese), February 1997.
- Panelist, Tokyo plenary conference, "Restructuring the U.S.-Japan Security Relationship Project," CSIS, Tokyo, Japan, January 1997.

- **1996**

- Participant, winter working session, "Restructuring the U.S.-Japan Security Relationship Project," CSIS, Washington, DC, November 6-7, 1996.

- "Economic Security: Japan to the Year 2010," presentation at conference on "Asia to 2010: The Interdependence of Security & Prosperity," University of Virginia and the Atlantic Council, Charlottesville, November 2, 1996.
- "The United States and Japan," panel presentation "The U.S. and Asia After the 1996 Election" International Studies Association - South Conference, Roanoke, Virginia, October 27, 1996.
- 「日米自動車摩擦の政治経済学」 (The Political Economy of U.S.-Japan Automotive Trade Friction), International Economics Association of Japan, Chiba University, October 18, 1996.
- Participant, fall working session, "Restructuring the U.S.-Japan Security Relationship Project," CSIS, Washington, DC, September 25, 1996.
- "Foreign Policy and the Auto Industry: Lessons for Managers," presented at the Association of Japanese Business Studies, Nagoya Japan, June 10-12, 1996.
- "U.S.--Japan Security Issues from a Trade Perspective" presented at the "Restructuring the U.S.--Japan Security Relations" Conference, CSIS & Okazaki Foundation, Washington, April 30, 1996.
- "The Strategic Dimension of U.S.-Japanese Corporate Alliances" Conference, US Dept. of Commerce / Greater Philadelphia First / JETRO, Philadelphia, April 28-29, 1996. Prepared background papers and served on automotive & power generation industry panels.
- Japan Economic Seminar, Wash DC, April 27, 1996. Papers on savings and egalitarianism in Japan.
- "Economic Reform in Japan," Conference at Center of Japanese Economy and Business, Columbia University, March 22-23, 1996.
- "The Bubble Economy," Discussant of a paper by Tony Moyer, North Carolina Japan Center, Washington and Southeast Japan Seminar, Washington, D.C., February 24, 1996.
- "Induced Innovation and Organizational Change," paper presented at the Economics Seminar, William & Mary, February 23, 1996.

## Lectures, Speeches, Seminars

(selected; \* indicates talks given in Japanese)

Government & Business Groups: Economic Strategy Institute (Washington, DC); National Research Council; Internal Revenue Service; US Congress Joint Economic Committee; US Department of Commerce; Japan Fair Trade Commission\*; MIT International Motor Vehicle Program, Society of Automotive Analysts.

Academic, US: Association of Japanese Business Studies; Business History Conference; Cornell University; Japan Economic Seminar (Harvard); North Carolina State University; Roanoke College;

Southern Regional Science Conference; Texas A&M MSC Scona Conference; Virginia Tech; William & Mary; NYU Stern School; University of Virginia.

Academic, Japan: Chiba Univ\*; Hitotsubashi Univ\*; International House of Japan, various (incl co-chair, PhD Kenkyu Kai); Japan International Economics Association\*; Kansai Gakuin; Komazawa Univ\*; Kyushu University\*; Osaka City Univ\*; Rikkyo Univ\*; Ryutsu Kagaku Univ\*; Tokyo Univ.\*; (Tokyo) Industrial Organization seminar\*.

Alumni & Community: W&L SAIL (International Student House), November 2002 on North Korea; Lexington Foreign Affairs Luncheon, Update on Japan in the World, May 2002. Speaker, Michigan W&L Alumni Association, March 1999. W&L Alumni College on Japan, July 2000. Also met with alumni groups in Tokyo, Japan in 1998, 1997, 1994, and 1991-1992.

### **Current Research, Writing & Consulting Projects**

General research on the Japanese and US auto industries, especially international strategies and comparative analysis of automotive parts industry and industry cost structures. Current work focuses on the progress of adjustment in Japan to the decline in domestic auto demand, and on organizational change in the automotive industry.

Judge, PACE "Automotive Supplier of the Year" Competition, 1994-present. Visit and write up an evaluation of two-three finalist firms per year, plus participate in the judges' meeting and related meetings. In 2002-03 this entailed 2 trips to Detroit and 3 site visits, one each to South Carolina, Indiana and Kentucky.

### **Affiliations & Professional Associations**

- Member, American Economic Association; Association of Christian Economists; Association of Japanese Business Studies; Business History Conference; Japan Economic Seminar, Virginia Consortium of Asian Studies, Washington and Southeast Japan Seminar.
- President, Virginia Consortium of Asian Studies (2003-); Vice President (1999-2003).
- Board of Advisors, *Journal of Japanese Studies* (Summer 2001-).
- Board of Advisors, *Japanese Economic Studies* (Summer 2002-).
- Member, editorial board, H-US-Japan discussion list (part of the H-Net system).
- Research Associate, Center on Japanese Economy and Business, Graduate School of Business, Columbia University. Past research associate, MIT International Motor Vehicle Program.

### **Referee / Manuscript Reviews**

In the past 5 years I reviewed book manuscripts for the Brookings Institution, the University of Chicago Press, Johns Hopkins University Press and Lexington Books. I also referee papers for the Association of Japanese Business Studies conference (annually 1992-2000), and for journals including *Administrative Science Quarterly*, *Organizational Science*, *Sloan Management Review* and *Journal of Japanese Studies*.

### ***Published Interviews / Quotes / Media Appearances***

*(selected)*

*Automotive News, Associated Press, Canadian Broadcast Corp., Detroit News, Economist (London), Forbes, Japan Times, Newsweek Japan, Nightly Business Report, The Oriental Economist, The Oriental Economist, Sankei Shinbun (in Japanese), Toyo Keizai (in Japanese), Wall Street Journal, WorldNet TV, WLUR Radio (Lexington), and BWR3 (Radio Baden).*

### ***Courses Taught***

*(\* indicates courses scheduled for academic 2003-2004)*

Field: *\*Modern Japanese Economy (annually, Fall), \*Modern Chinese Economy (annually, Spring), \*Industrial Organization, The U.S. in the Pacific Century, Achieving Competitiveness: Lessons from Japan?, Industrial Revolutions (W&L University Scholars Program, 1989 and 1998; semi-regular course, Winter 2000-).*

Departmental: *Principles of Microeconomics [Fall], Principles of Macroeconomics [Winter], \*Microeconomic Theory, Mathematical Economics, Economic Policy (Senior Seminar)*

Miscellaneous: *Japan in Asia, taught in Hong Kong / Shanghai / Tokyo / Chiba, Japan during Spring 1998.*

Graduate: *Law and Economics Seminar (at Rikkyo University, in Japanese); The Global Auto Industry and National Policy Options (IUJ), Economic Security & National Security (IUJ)*

### ***Service and Community Activities***

- *The EMBA Foundation, Inc. Secretary/Treasurer, for a Foundation that supports Christian churches in the Northern Philippines. Responsible for annual report and all tax filings; edit extensive correspondence with the Philippines.*
- *Member, Good Shepherd Lutheran Church. Member of choir, sometimes Sunday School teacher.*
- *Advisor, Venture Crew 180 (2003-); previously Associate Advisor, Crew 180; Assistant Scoutmaster, Boy Scout Troop 29.*

- *Bass, Rockbridge Choral Society. Also Webmaster - see <http://rcs.wlu.edu/>*
- *Rockbridge County United Way (project reviewer, 1996, 1999, 2000 and 2003). General's Club member.*
- *Past member and regular substitute, Lexington Presbyterian Church handbell choir.*
- *Member, Waynesboro VA YMCA Kendo Club.*
- *Assisted 1998-2000 with Daikin-Modine, a joint venture of Daikin Industries and Modine Industries in Rockbridge County, Virginia. Now defunct.*
- *Member, various W&L committees including East Asian Studies.*

*Updated August 2003.*